# Independence number of random trees

#### E. Bellin

Laboratoire CMAP École Polytechnique

2023

イロト イポト イヨト イヨト

Let G=(V,E) be a finite graph and  $S \subset V$ . The set S is

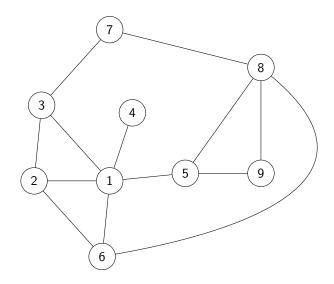
• An independent set if no pair of vertices of S are linked with an edge.

∃ ► < ∃ ►</p>

Let G=(V,E) be a finite graph and  $S \subset V$ . The set S is

- An independent set if no pair of vertices of S are linked with an edge.
- A maximal independent set if it is an independent set with maximal cardinality.

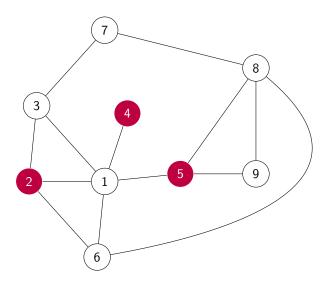
# Exemple



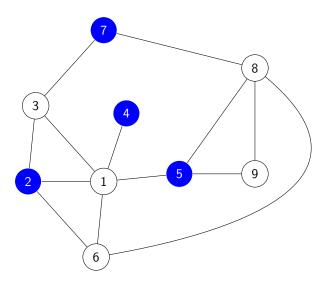
▲□▶ ▲圖▶ ▲厘▶ ▲厘▶ →

≣ ∽ < <sup>⊙</sup> 3/40

# Exemple

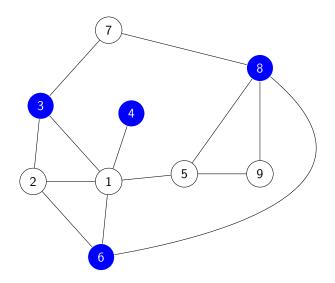


### $S = \{2, 4, 5\}$ is an independent set .



# 

# Exemple



 $S = \{3, 4, 6, 8\}$  is also a maximal independent set .

- Let G=(V,E) be a finite graph and  $S \subset V$ . The set S is
  - An independent set if no pair of vertices of S are linked with an edge.
  - A maximal independent set if it is an independent set with maximal cardinality.

Remark : The maximal independent set is not unique.

3

- Let G=(V,E) be a finite graph and  $S \subset V$ . The set S is
  - An independent set if no pair of vertices of S are linked with an edge.
  - A maximal independent set if it is an independent set with maximal cardinality.

Remark : The maximal independent set is not unique.

#### Définition

The size of a maximal independent set of G is called the independence number of G and is denoted by  $\alpha(G)$ .

<ロト <回ト < 回ト < 回ト < 回ト = 三日

# Complexité

#### Théorème

Determining if G = (V, E) has an independent set of size  $\geq k$  is NP-complete (meaning that it is NP and NP-hard).

< ロ > < 同 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >

# Complexité

#### Théorème

Determining if G = (V, E) has an independent set of size  $\geq k$  is NP-complete (meaning that it is NP and NP-hard).

Proof :

• NP : OK

- 4 週 ト - 4 三 ト - 4 三 ト -

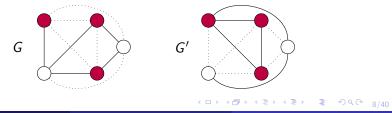
# Complexité

#### Théorème

Determining if G = (V, E) has an independent set of size  $\geq k$  is NP-complete (meaning that it is NP and NP-hard).

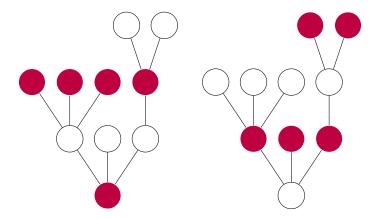
Proof :

- NP : OK
- NP-hard : Take G' = (V, E') where E' is the complementary of E. Then G has an independent set of size ≥ k is equivalent to say that G' has a clique of size ≥ k. And the clique problem is well known to be NP-hard.



• If T is a tree with n vertices, then  $\alpha(T) \ge n/2$ .

• If T is a tree with n vertices, then  $\alpha(T) \ge n/2$ .



< ロ > < 同 > < 三 > < 三 >

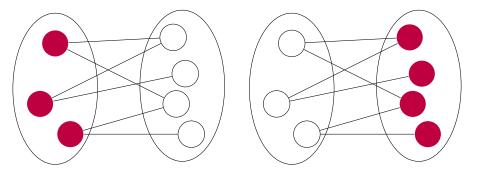
### Bornes inférieures

- If T is a tree with n vertices, then  $\alpha(T) \ge n/2$ .
- More generally, if G is a bipartite graph with n vertices, then  $\alpha(G) \ge n/2$ .

A (10) × (10) × (10) ×

### Bornes inférieures

- If T is a tree with n vertices, then  $\alpha(T) \ge n/2$ .
- More generally, if G is a bipartite graph with n vertices, then  $\alpha(G) \ge n/2$ .



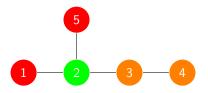
Let T be a tree and v a vertex of T, we colour v in :

- green if it belongs to no maximal independent set
- red if it belongs to all maximal independent set
- orange if it belongs to some maximal independent set but not all.

11 / 40

Let T be a tree and v a vertex of T, we colour v in :

- green if it belongs to no maximal independent set
- red if it belongs to all maximal independent set
- orange if it belongs to some maximal independent set but not all.



11 / 40

 $n_g(T) :=$  number of green vertices.  $n_r(T) :=$  number of red vertices.  $n_o(T) :=$  number of orange vertices.

### Proposition

• 
$$\alpha(T) = n_r(T) + \frac{n_o(T)}{2}$$
.

・ロト ・ 伊ト ・ ヨト ・ ヨト ・ ヨー ・ のへで

 $n_g(T) :=$  number of green vertices.  $n_r(T) :=$  number of red vertices.  $n_o(T) :=$  number of orange vertices.

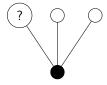
### Proposition

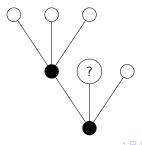
• 
$$\alpha(T) = n_r(T) + \frac{n_o(T)}{2}$$
.  
•  $\beta(T) = n_g(T) + \frac{n_o(T)}{2} = n - \alpha(T)$ .

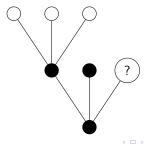
イロト イポト イヨト イヨト 二日 …

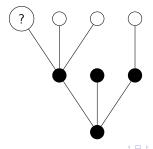
### Définition

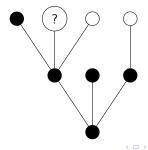
### Définition

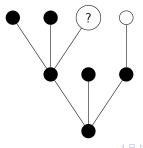


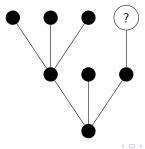




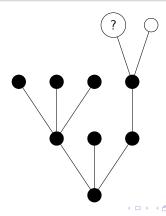




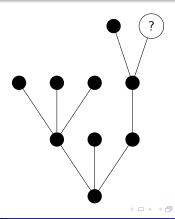




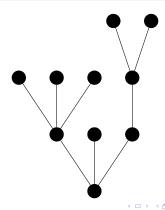
### Définition



### Définition



### Définition



## Un dessin

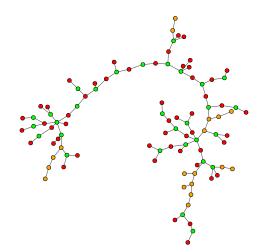


Figure: Tricolouration of a BGW tree with 100 vertices and a Poisson offspring distribution of parameter 1.

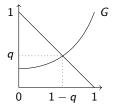
3

• • = • • =

Let  $T_n$  be a BGW tree with reproduction law  $\mu$ , conditionned on having n vertices.Let

$$G(t) := \sum_{k=0}^{\infty} \mu(k) t^k.$$

Let q be the unique solution of G(1-q) = q in [0,1]. Suppose that  $\mu$  has mean 1.



3

### Résultat

Let  $T_n$  be a BGW tree with reproduction law  $\mu$ , conditionned on having n vertices. Let

$$G(t) := \sum_{k=0}^{\infty} \mu(k) t^k.$$

Let q be the unique solution of G(1-q) = q in [0,1]. Suppose that  $\mu$  has mean 1.

#### Théorème (B.)

The following convergences hold in  $L^p$  for every p > 0:

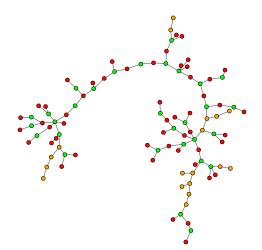
$$\frac{n_r(T_n)}{n} \xrightarrow{L^p} \frac{q}{n \to \infty} \xrightarrow{q} \frac{q}{1 + G'(1 - q)}, \qquad \frac{n_o(T_n)}{n} \xrightarrow{L^p} \frac{2 q G'(1 - q)}{1 + G'(1 - q)},$$
$$\frac{n_g(T_n)}{n} \xrightarrow{L^p} \frac{1 - q + (1 - 2q)G'(1 - q)}{1 + G'(1 - q)}.$$

(ロト 4 課 ト 4 語 ト 4 語 ト 三語 - のの

26 / 40

Fin

Merci de votre attention



2

2023

イロト イロト イヨト イヨト

For a graph G = (V, E) and a vertex  $v \in V$ , we denote by deg(v) the degree of v in G.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ シ۹ペー

For a graph G = (V, E) and a vertex  $v \in V$ , we denote by deg(v) the degree of v in G.

Théorème (Caro & Wei, Alon & Spencer)

For any graph G = (V, E) we have the lower bound :

 $\alpha(G) \geq \sum_{\nu \in V} \frac{1}{\deg(\nu) + 1}.$ 

◆□▶ ◆母▶ ◆ヨ▶ ◆ヨ▶ ヨーのへで 28/40

*Proof* : Let  $\leq$  be a total ordering of V chosen uniformly at random. Set

$$I := \{ v \in V : \forall w \in V, \{ v, w \} \in E \implies v \preceq w \}.$$

イロト イロト イヨト イヨト

*Proof* : Let  $\leq$  be a total ordering of V chosen uniformly at random. Set

$$I := \{ v \in V : \forall w \in V, \{ v, w \} \in E \implies v \preceq w \}.$$

Then

$$\mathbb{E}\left[\#I\right] = \mathbb{E}\left[\sum_{v \in V} \mathbb{1}_{v \in I}\right] = \sum_{v \in V} \mathbb{P}\left(v \in I\right).$$

29 / 40

2

イロト イロト イヨト イヨト

*Proof* : Let  $\leq$  be a total ordering of V chosen uniformly at random. Set

$$I := \{ v \in V : \forall w \in V, \{ v, w \} \in E \implies v \preceq w \}.$$

Then

$$\mathbb{E}\left[\#I\right] = \mathbb{E}\left[\sum_{v \in V} \mathbb{1}_{v \in I}\right] = \sum_{v \in V} \mathbb{P}\left(v \in I\right).$$

Notice that  $v \in I$  iff v is the smallest for  $\leq$  among its neighbours. Hence

$$\mathbb{P}(v \in I) = \frac{1}{\deg(v) + 1}.$$

29 / 40

▲□▶ ▲□▶ ★ 三▶ ★ 三▶ - 三 - のへで、

*Proof* : Let  $\leq$  be a total ordering of V chosen uniformly at random. Set

$$I:=\{v\in V\,:\,\forall w\in V,\,\{v,w\}\in E\implies v\preceq w\}.$$

Then

$$\mathbb{E}\left[\#I\right] = \mathbb{E}\left[\sum_{v \in V} \mathbb{1}_{v \in I}\right] = \sum_{v \in V} \mathbb{P}\left(v \in I\right).$$

Notice that  $v \in I$  iff v is the smallest for  $\leq$  among its neighbours. Hence

$$\mathbb{P}(v \in I) = \frac{1}{\deg(v) + 1}.$$

Thus we can find a total order  $\leq$  such that  $\#I \geq \sum_{v \in V} \frac{1}{\deg(v)+1}$ . To conclude, notice that I is an independent set

29 / 40

# Bornes supérieures

#### Définition

Let G=(V,E) be a finite graph and  $M \subset E$ . The set M is

- A matching if no pair of edges of M share a same vertex.
- A maximal matching if it is an matching with maximal cardinality.

30 / 40

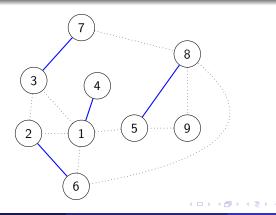
3 x 3

# Bornes supérieures

#### Définition

Let G=(V,E) be a finite graph and  $M \subset E$ . The set M is

- A matching if no pair of edges of M share a same vertex.
- A maximal matching if it is an matching with maximal cardinality.



#### Définition

Let G=(V,E) be a finite graph and  $M \subset E$ . The set M is

- A matching if no pair of edges of *M* share a same vertex.
- A maximal matching if it is an matching with maximal cardinality.

Denote by  $\beta(G)$  the size of a maximal matching of G.

Théorème (Konig, Egervary)

For any graph G = (V, E) with n vertices, we have the upper bound :

 $\alpha(G) \leq n - \beta(G)$ 

with equality if G is bipartite.

< □ ▶ < @ ▶ < E ▶ < E ▶ E のへで 31/40

#### Définition

Let G=(V,E) be a finite graph and  $M \subset E$ . The set M is

- A matching if no pair of edges of *M* share a same vertex.
- A maximal matching if it is an matching with maximal cardinality.

Denote by  $\beta(G)$  the size of a maximal matching of G.

Théorème (Konig, Egervary)

For any graph G = (V, E) with n vertices, we have the upper bound :

$$\alpha(G) \leq n - \beta(G)$$

with equality if G is bipartite.

Remark :  $\beta(G)$  can be computed in  $O(n^3)$  (Edmond, Gabow).

□ ► < E ► < E ► E < < 31/40</p>

Ρ

## Théorème (B.)

The following convergences hold in  $L^p$  for every p > 0:

$$\frac{n_r(T_n)}{n} \xrightarrow{L^p} \frac{q}{n \to \infty} \xrightarrow{q} \frac{q}{1 + G'(1 - q)}, \qquad \frac{n_o(T_n)}{n} \xrightarrow{L^p} \frac{2 q G'(1 - q)}{1 + G'(1 - q)},$$

$$\frac{n_g(T_n)}{n} \xrightarrow{L^p} \frac{1 - q + (1 - 2q)G'(1 - q)}{1 + G'(1 - q)}.$$
Proof that  $\mathbb{E}\left[\frac{n_r(T_n)}{n}\right] \to \frac{q}{1 + G'(1 - q)}$ :

Let  $v_n$  be a random vertex chosen uniformly in  $T_n$ .

32 / 40

## Théorème (B.)

The following convergences hold in  $L^p$  for every p > 0:

$$\frac{n_r(T_n)}{n} \xrightarrow{L^p} \frac{q}{n \to \infty} \xrightarrow{q} \frac{q}{1 + G'(1 - q)}, \qquad \frac{n_o(T_n)}{n} \xrightarrow{L^p} \frac{2 q G'(1 - q)}{1 + G'(1 - q)},$$

$$\frac{n_g(T_n)}{n} \xrightarrow{L^p} \frac{1 - q + (1 - 2q)G'(1 - q)}{1 + G'(1 - q)}.$$
Proof that  $\mathbb{E}\left[\frac{n_r(T_n)}{n}\right] \to \frac{q}{1 + G'(1 - q)}$ :  
Let  $v_n$  be a random vertex chosen uniformly in  $T_n$ .  
Then  $\mathbb{E}\left[\frac{n_r(T_n)}{n}\right] = \mathbb{P}(v_n \text{ is red in } T_n).$ 

2

32/40 32/40 A couple  $(\tau, u)$  where  $\tau$  is a tree and u a vertex of  $\tau$  is called a pointed tree.

▲圖▶ ▲屋▶ ▲屋≯

A couple  $(\tau, u)$  where  $\tau$  is a tree and u a vertex of  $\tau$  is called a pointed tree.

For a pointed tree  $(\tau, u)$  and  $h \in \mathbb{N}$  we denote by  $[(\tau, u)]_h$  the subtree of  $\tau$  formed by the vertices at graph distance  $\leq h$  from u.

33 / 40

3

A couple  $(\tau, u)$  where  $\tau$  is a tree and u a vertex of  $\tau$  is called a pointed tree.

For a pointed tree  $(\tau, u)$  and  $h \in \mathbb{N}$  we denote by  $[(\tau, u)]_h$  the subtree of  $\tau$  formed by the vertices at graph distance  $\leq h$  from u.

#### Définition

We say that  $(\tau_n, u_n)$  converges locally towards  $(\tau, u)$  if for every  $h \in \mathbb{N}$  there is a  $n_0$  such that for all  $n \ge n_0$ ,

$$[(\tau_n, u_n)]_h = [(\tau, u)]_h.$$

This convergence defines a topology called the local topology.

33 / 40

ъ

## Théorème (Stufler)

 $(T_n, v_n)$  converges in distribution for the local topology towards a random pointed tree  $(T^*, u_0)$ .

34 / 40

## Théorème (Stufler)

 $(T_n, v_n)$  converges in distribution for the local topology towards a random pointed tree  $(T^*, u_0)$ .

Consequence :

$$\mathbb{E}\left[\frac{n_r(T_n)}{n}\right] = \mathbb{P}\left(v_n \text{ is red in } T_n\right) \to \mathbb{P}\left(u_0 \text{ is red in } T^*\right).$$

34/40

34 / 40

3

A 3 >

## Théorème (Stufler)

 $(T_n, v_n)$  converges in distribution for the local topology towards a random pointed tree  $(T^*, u_0)$ .

Consequence :

$$\mathbb{E}\left[\frac{n_r(T_n)}{n}\right] = \mathbb{P}\left(v_n \text{ is red in } T_n\right) \to \mathbb{P}\left(u_0 \text{ is red in } T^*\right).$$

 $\mathbb{P}(u_0 \text{ is red in } T^*) = ?$ 

34/40

34 / 40

◆□▶ ◆□▶ ◆□▶ ◆□▶ ● □ のへで

What is  $(T^*, u_0)$ ?

What is  $(T^*, u_0)$ ?



Start with an infinite spine of vertices  $u_0, u_1, u_2, \ldots$ 

◆□▶ ◆舂▶ ◆臣▶ ◆臣▶ 三臣…

# Arbre limite

What is  $(T^*, u_0)$ ?

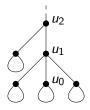


Each vertex  $u_i$  with i > 0, gets offspring according to the law

$$\hat{\mu}(k) := k \mu(k).$$

And  $u_{i-1}$  is identified to one of  $u_i$ 's children uniformly at random.

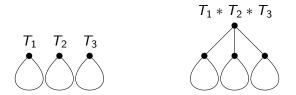
What is  $(T^*, u_0)$ ?



Finally, each vertex of the current tree gives birth to a independent copy of a BGW tree with law  $\mu$ .

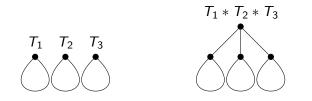
3

For  $T_1, \ldots, T_k$  rooted trees we denote by  $T_1 * \cdots * T_k$  the tree obtained by linking all the roots of  $T_1, \ldots, T_k$  to a new vertex.



3

For  $T_1, \ldots, T_k$  rooted trees we denote by  $T_1 * \cdots * T_k$  the tree obtained by linking all the roots of  $T_1, \ldots, T_k$  to a new vertex.



#### Lemme

The root of  $T_1 * \cdots * T_k$  is red iff all the roots of  $T_1, \ldots, T_k$  are non-red.

イロト イポト イヨト イヨト ヨー シタぐ

# Fin de la preuve

Denote by q the probability that the root of a BGW tree with law  $\mu$  is red. From the previous lemma we deduce that

$$q = \sum_{k \ge 0} \mu(k)(1-q)^k = G(1-q).$$

ъ

A (10) × (10) × (10) ×

# Fin de la preuve

Denote by q the probability that the root of a BGW tree with law  $\mu$  is red. From the previous lemma we deduce that

$$q = \sum_{k \ge 0} \mu(k)(1-q)^k = G(1-q).$$

Let  $\tilde{T}$  be the tree obtained from  $T^*$  by cutting the edge between  $u_0$  and  $u_1$  and keeping the component containing  $u_1$ . Let  $\tilde{q}$  be the probability that  $u_1$  is red in  $\tilde{T}$ . Then, from the lemma again,

$$\widetilde{q}=\sum_{k\geq 1}k\mu(k)(1-q)^{k-1}(1-\widetilde{q})=(1-\widetilde{q})G'(1-q).$$

39 / 40

# Fin de la preuve

Denote by q the probability that the root of a BGW tree with law  $\mu$  is red. From the previous lemma we deduce that

$$q = \sum_{k \ge 0} \mu(k)(1-q)^k = G(1-q).$$

Let  $\tilde{T}$  be the tree obtained from  $T^*$  by cutting the edge between  $u_0$  and  $u_1$  and keeping the component containing  $u_1$ . Let  $\tilde{q}$  be the probability that  $u_1$  is red in  $\tilde{T}$ . Then, from the lemma again,

$$\widetilde{q}=\sum_{k\geq 1}k\mu(k)(1-q)^{k-1}(1-\widetilde{q})=(1-\widetilde{q})G'(1-q).$$

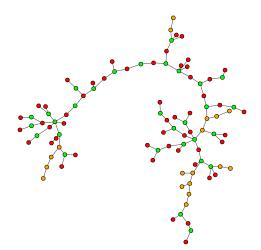
Finally,

$$\mathbb{P}(u_0 \text{ is red in } T^*) = \sum_{k \ge 0} \mu(k)(1-q)^k(1-\widetilde{q}) = rac{q}{1+G'(1-q)}.$$

39 / 40

Fin

Merci de votre attention



イロト イロト イヨト イヨト